

10/30/01



1132 U.S. PTO

12-18-01

A

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TRIRG-01000US0
First Inventor	Cherisse Nicastro
Title	BUSINESS ASSET MANAGEMENT SYSTEM
Express Mail Label No.	EL 795247686 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 122]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 41]
5. ☒ Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

28554

(Insert Customer No. or Attach bar code label here)

or ☐

Correspondence address below

Name	Larry E. Vierra, Esq.				
Address	Vierra Magen Marcus Harmon & DeNiro, LLP				
	685 Market Street, Suite 540				
City	San Francisco	State	California	Zip Code	94105-4206
Country	U.S.A.	Telephone	(415) 369-9660	Fax	(415) 369-9665

Name (Print/Type)	Larry E. Vierra	Registration No. (Attorney/Agent)	33,809
Signature	<i>Larry E. Vierra</i>	Date	October 30, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,387.00

Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Cherisse Nicastro
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	TRIRG-01000US0

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 501826
- Deposit Account Name: Vierra Magen Marcus Harmon & DeNiro LLC
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid
101 740	201 370	Utility filing fee		370.00
106 330	206 165	Design filing fee		
107 510	207 255	Plant filing fee		
108 740	208 370	Reissue filing fee		
114 160	214 80	Provisional filing fee		0.00
SUBTOTAL (1)				(\$ 370.00

2. EXTRA CLAIM FEES			
Total Claims	Extra Claims	Fee from below	Fee Paid
105	20** = 85.00	9.00	765.00
9	3** = 6	42.00	252.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$ 1,017.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES					
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid
105 130	205 65		Surcharge - late filing fee or oath		
127 50	227 25		Surcharge - late provisional filing fee or cover sheet		
139 130	139 130		Non-English specification		
147 2,520	147 2,520		For filing a request for ex parte reexamination		
112 920*	112 920*		Requesting publication of SIR prior to Examiner action		
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action		
115 110	215 55		Extension for reply within first month		
116 400	216 200		Extension for reply within second month		
117 920	217 460		Extension for reply within third month		
118 1,440	218 720		Extension for reply within fourth month		
128 1,960	228 980		Extension for reply within fifth month		
119 320	219 160		Notice of Appeal		
120 320	220 160		Filing a brief in support of an appeal		
121 280	221 140		Request for oral hearing		
138 1,510	138 1,510		Petition to institute a public use proceeding		
140 110	240 55		Petition to revive - unavoidable		
141 1,280	241 640		Petition to revive - unintentional		
142 1,280	242 640		Utility issue fee (or reissue)		
143 460	243 230		Design issue fee		
144 620	244 310		Plant issue fee		
122 130	122 130		Petitions to the Commissioner		
123 50	123 50		Processing fee under 37 CFR 1.17(q)		
126 180	126 180		Submission of Information Disclosure Stmt		
581 40	581 40		Recording each patent assignment per property (times number of properties)		
146 740	246 370		Filing a submission after final rejection (37 CFR § 1.129(a))		
149 740	249 370		For each additional invention to be examined (37 CFR § 1.129(b))		
179 740	279 370		Request for Continued Examination (RCE)		
169 900	169 900		Request for expedited examination of a design application		
Other fee (specify)					
SUBTOTAL (3)					(\$ 0.00

SUBMITTED BY

Name (Print/Type)	Larry E. Vierra	Registration No. (Attorney/Agent)	33,809	Telephone	415-369-9660
Signature		Date	October 30, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.